



**Transworld Workshop on WP 4 and WP 5
Berlin, 13 February 2014**

The joint WP4-5 workshop was organized by the Free University of Berlin (FUB), leader of Transworld WP5 "Human Rights and Democracy Promotion". The one-day event provided WP4-5 partners with the opportunity to take stock of past work and to plan future activities.

Participants included:

- Prof. Thomas Risse, FUB team, WP5 leader
- Dr. Nelli Babayan, FUB team
- Dr. Bilgin Ayata, FUB team
- Prof. Francesco Francioni, European University Institute (EUI) and WP4 leader
- Dr. Christine Bakker, EUI
- Dr. Eugenio Cusumano, EUI
- Constanze Stelzenmüller, German Marshall Fund of the United States (GMF)
- Josh Raischer, GMF
- Prof. Tomas Weiss, Charles University Prague
- Estelle Rouhaud, Chatham House (RIIA)
- Dr. Nathalie Tocci, Istituto Affari Internazionali (IAI) and Transworld Coordinator

Report, Contribution on WP4

Prof. Francesco Francioni from EUI and WP4 leader first thanked all project partners who were involved in the WP4 research on environment and climate change, Chatham House, GMF and Charles University Prague, for their excellent contributions. He then, together with Dr. Christine Bakker (EUI team), introduced the discussion by recalling the main activities undertaken since the beginning of the project:

- A first joint WP4-WP5 Workshop held in Florence on 9/10 November 2012, which served to plan research in these 2 WPs.
- The publication of 14 research papers, dealing with several aspects of the evolution of environmental governance at the global level, as well as with the roles, attitudes and competences of the EU and the US in this field. Ten of these papers were published as Transworld Working Papers on the project website, and four others as EUI/AEL Working Papers (see Annex 1).
- The preparation of a book to be published by Ashgate Publishing in August 2014, presenting the main research outcomes of this WP.

- Co-sponsoring of, and participation in a joint symposium of the ASIL and ESIL Working Groups on International Environmental Law, held in Geneva on 22-23 November 2013, on *The Changing Nature of International Environmental Law: Evolving Approaches of the United States and the European Union*.
- Contribution to the formulation of questions for the Transatlantic Elite Survey regarding the environment and climate change.
- Elaboration of the WP4 Draft Final Paper, to be discussed at this Workshop.

Eugenio Cusumano (EUI team) then introduced the Draft Final Paper, which he had drawn up taking account of the first comments received from the other project partners involved in WP4. During the discussion, several additional comments were provided, particularly suggesting: (i) express more clearly whether it had been a wise policy for the EU to take on a leadership role in the climate negotiations after the disengagement by the US at the end of the 1990s, since this leadership did not result in universal consensus; (ii) mention also the influence of business and the private sector on the policy debate on climate change; (iii) refer to the discrepancy between discourse and practice, at both EU and individual member states levels; (iii) highlight the tendency whereby other actors (US states, businesses, civil society) play an increasingly active role, as well as the growing emphasis on adaptation rather than mitigation; (iv) mention also the 'systemic overload' and lack of communication among the factors contributing to the current failure of progress; (v) include a section on transatlantic cooperation in the environmental field, identifying the factors that explain why such cooperation works in some sub-areas, and not in others.

Finally, Nathalie Tocci (IAI) stressed that the results of the Elite Survey need to be integrated into the final papers as well, as soon as they are available.

Report, Contribution on WP5

Prof. Thomas Risse from FUB and WP5 leader opened the discussion by stressing current strained relations between the EU and the US due to revelations of the US National Security Agency (NSA) global surveillance programme and how these revelations may influence the prospects of transatlantic human rights and democracy promotion. Afterwards, Nelli Babayan (FUB team) recalled WP5 contributions to TRANSWORLD projects, which include several working papers within its own and other work packages:

- A first joint WP4-WP5 Workshop held in Florence on 9-10 November 2012, which served to coordinate research in these 2 WPs.
- The publication of 14 Research papers, dealing with several aspects of the transatlantic human rights and democracy promotion: six contributions were published as Transworld Working Papers on the project website.
- Dissemination of WP5 related research through several academic conferences, including ISA, ECPR and EUSA.

- Contribution to the formulation of questions for the Transatlantic Elite Survey regarding the environment and climate change, and
- Elaboration of the WP5 draft Final Paper, discussed at this Workshop.

One of the most important outcomes of the work performed by FU Berlin will be a special issue to be published in *Democratization* journal in 2015, which will expand the initial theoretical scope of TRANSWORLD. Although partially a spin-off of scholarly work produced within WP5, the preparations for the special issue will be financed by the Research College “Transformative Power of Europe”.

The discussion continued with Dr. Babayan introducing the draft of WP5 Final Paper co-written with Prof. Risse. The final paper builds on the arguments put further in previous contributions—Transworld Working Papers 18 and 20—and analyzes the reasons behind the lack of transatlantic cooperation despite visible rhetorical and structural convergence in approaches to democracy promotion. The comments received by contributing partners IAI and AU stressed the importance of paying equal attention to the policies on human rights and democracy and providing analysis of the additional elements that leave convergence short of partnership. Participants of the workshop also indicated that attention should be paid to i) national and supranational oversight mechanisms of human rights, ii) the extent to which US or EU actions are inspired from one another, especially given the establishment of the European Endowment for Democracy, iii) whether comparative advantages are recognized by the EU or the US. The paper will be modified based on the comments received from contributing partners, WP4 partners during the workshop and the results of the Elite Survey.

Echoing the emphasis put by Nathalie Tocci on the importance of incorporating Elite Survey results, Josh Raisher from GMF briefly presented some of the preliminary survey results.



Annex 1

Transworld Project, WP 4

The EU, the US and Global Climate Governance

Christine Bakker and Francesco Francioni (eds)
(Ashgate Publishing, forthcoming 2014)

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